

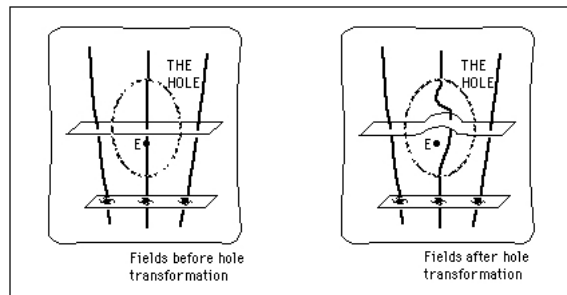
Dispensing with the Hole Argument

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Lochbetrachtung

Einstein gets confused about how differential geometry works



General covariance?

Earman and Norton, What price spacetime substantivalism?

- ▶ Space substantivalism I (Newton): space is something sui generis that always was and always will be
- ▶ Spacetime substantivalism II: spacetime points exist
- ▶ Spacetime substantivalism III: these two models represent different possible worlds

Pulling back a metric

- ▶ A **smooth manifold** is a set M with a family of charts $\{\psi : U \rightarrow \mathbb{R}^n \mid U \subseteq M\}$ satisfying a compatibility requirement.
- ▶ A **diffeomorphism** is a smooth map $\varphi : M \rightarrow N$ that has a smooth inverse.
- ▶ A **spacetime** (M, g) is a smooth manifold equipped with a Lorentzian metric g .
- ▶ If $\varphi : M \rightarrow N$ is a diffeomorphism, and g is a Lorentzian metric on N , then φ^*g is a Lorentzian metric on M .

The hole argument down to a point

- ▶ Given $\varphi : M \rightarrow M$ with $\varphi(p) \neq p$ and $g(p) \neq g(\phi(p))$
- ▶ In (M, g) we have $p \mapsto g(p)$
- ▶ In (M, φ^*g) we have $p \mapsto g(\phi(p))$

The hole argument in a nutshell

1. Substantivalism: the models (M, g) and (M, φ^*g) represent different possible worlds
2. Indeterminism: the models (M, g) and (M, φ^*g) agree on everything in the past of the hole O .

Weatherall's mathematical dissolution

- ▶ Even a substantialist should grant that (M, g) and (M, φ^*g) represent the same possible world
- ▶ The standard for comparison of (M, g) and (M, φ^*g) is the isomorphism φ , not the identity 1_M
 - ▶ Compare $p \mapsto g(p)$ with $\varphi(p) \mapsto (\varphi^*g)(p) = g(\varphi(p))$
 - ▶ 1_M is not an isomorphism between (M, g) and (M, φ^*g)

Metaphysics revanche

- ▶ Read and Pooley: Weatherall is assuming mathematical structuralism
- ▶ Teitel: Weatherall mistakenly assumes that mathematics solves the metaphysical question

A practical/mathematical turn

- ▶ Shouldn't the interpretation of physical theories be independent of the **niceties** of the foundations of mathematics?
 - ▶ Axioms about higher cardinal numbers
 - ▶ Perhaps even the axiom of choice
- ▶ Granted that there *are* substantive metaphysical disputes, it is surely the case that some of our questions are pseudo-questions, especially where difficult mathematics is involved
- ▶ Goal: clear conceptual reasoning about highly mathematized theories
- ▶ Goal: understanding how to represent theoretical commitment through the use of mathematical formalism
- ▶ Goal: deciding whether physical theories and/or the foundations of mathematics need to be reformed

How to reason with models

- ▶ **Democracy:** When reasoning with models, there is no default standard of cross-model identity of points.
- ▶ Equivalently: A model M can always be replaced by an isomorphic model M' .

How to reason with models

- ▶ **Counting:** When reasoning with models, we never need to count how many models are in an isomorphism class
- ▶ Set-theoretic foundations (i.e. model theory based on ZF set theory) invites violations of Monads and Counting
- ▶ **WARNING:** Counting does not imply that isomorphic models are identical

The paradox of symmetry

1. If there is an isomorphism $\varphi : M \rightarrow N$ then $M = N$.
2. There are true counterfactual statements.
 - ▶ “ X could have had a different velocity than it actually has”
 - ▶ “More than one velocity is possible”
 - ▶ “If a force were applied to X , then it would change velocity”

This paradox is especially acute in theories with transitive symmetry group, e.g. Galilean relativity, special relativity, quantum mechanics.

The categorical mindset

- ▶ A **category** C consists of objects C_0 and arrows C_1
- ▶ Category of models: take C_0 to be models and C_1 to be symmetries
- ▶ A **functor** $F : C \rightarrow D$ consists of a pair of maps, one on objects and one on arrows
- ▶ Categories C and D are **equivalent** just in case there are functors $F : C \rightarrow D$ and $G : D \rightarrow C$ that are quasi-inverse
- ▶ Fact: An equivalence of categories induces a bijection of isomorphism classes of objects
- ▶ Fact: Equivalent categories can nonetheless have different numbers of objects in the equivalence classes

Manchak and Halvorson

- ▶ Claim: There are no non-trivial hole-isometries
- ▶ Geroch's Theorem: If $\varphi, \psi : (M, g) \rightarrow (N, h)$ are isometries that agree on an open subset, then they agree everywhere
- ▶ Corollary: If $\varphi : (M, g) \rightarrow (M, g)$ doesn't change anything outside of O , then it doesn't change anything inside O
- ▶ Is the diffeomorphism $\varphi : M \rightarrow M$ that forms the basis for the hole argument trivial or not?

Key questions

- ▶ Are the issues here unique to diffeomorphisms (general covariance), or are we faced with a general issue about to reason with models?
 - ▶ Compare $\langle \{a, b\}, \{a\} \rangle$ with $\langle \{a, b\}, \{b\} \rangle$
- ▶ Diffeomorphism versus isomorphism: are the former even relevant?
- ▶ Is Weatherall's response about correct reasoning, or does it assume mathematical structuralism?
 - ▶ Does the hole argument contain tacit premises about mathematical ontology?

Elementary Theory of the Category of Sets (ETCS)

- ▶ Two sorted first-order theory: Objects and Arrows
- ▶ Axioms: initial object, terminal object, Cartesian products, coproducts, natural number object, etc.
- ▶ A **point** of X is a morphism $p : 1 \rightarrow X$
- ▶ By definition, two sets X and Y cannot share a point in common. (The equality symbol is not defined across different sets.)
- ▶ But each isomorphism $h : X \rightarrow Y$ gives a way of identifying points in X with points in Y .

ETCS as a foundation for mathematical physics?

- ▶ Can the reasoning of physicists be reproduced without assuming a default standard of sameness of points across models? i.e. do models have to be based on the same “ur domain”?
- ▶ Example: There is a model just like M , except that the point a is not in the extension of P .
- ▶ Real examples??

Mitchell's Theorem

- ▶ ETCS is “equivalent” to a weak form of ZF set theory
- ▶ Open question: what does “equivalence” mean here?
 - ▶ If it's merely “equal logical strength” then it's too weak to carry interpretive weight (compare Gödel translation of intuitionistic logic)
 - ▶ Bi-interpretability?
 - ▶ Morita equivalence?
- ▶ Open question: does this equivalence support the two model-theoretic principles (opacity and/or vagueness)?
- ▶ It is independently interesting whether category-theoretic foundations could be *equivalent* in some precise sense to set-theoretic foundations

From ETCS to Topos Theory

Fact: ETCS axioms are a special case of axioms for a Boolean topos

(Homotopy) Type Theory

- ▶ Background
- ▶ Univalence axiom: isomorphic objects are identical
- ▶ Idiot-proof
- ▶ Philosophers have argued that UF dissolves the hole problem: Dougherty, Ladyman and Presnell
- ▶ Once again: Is there a substantive choice between ZF, ETCS (topos theory), UF? Could it make a difference for mathematical physics in practice?

Summary and Way Forward

- ▶ The hole argument has gone meta
 - ▶ Most parties are no longer concerned with the tenability of substantivalism
 - ▶ Is the hole issue just a confusion, or do different philosophical commitments lead to different ways of reasoning with models?
 - ▶ How does foundations of math figure into all of this?
- ▶ There are open technical questions
 - ▶ Equivalence of ETCS and weak ZF

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